



PATENT

Case Docket No. ASHL.002A

Date: July 15, 2004

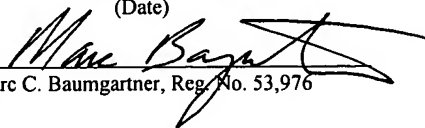
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s) : Swinnen, et al.  
App. No. : 10/734,103  
Filed : December 10, 2003  
For : DEVICE AND PROCESS FOR  
TREATING CUTTING  
FLUIDS USING  
ULTRASOUND  
Group Art Unit : 1723

I hereby certify that this correspondence and all marked attachments are being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on

July 15, 2004

(Date)

  
Marc C. Baumgartner, Reg. No. 53,976

TRANSMITTAL LETTER

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

ATTENTION: MAIL STOP MISSING PARTS

Dear Sir:

In response to the Notice to File Missing Parts of Application Under 37 CFR 1.53(f), which was mailed by the Office on March 19, 2004, enclosed are:

- (X) An executed Declaration by Inventor(s).
- (X) Establishment of Right of Assignee to Take Action.
- (X) Revocation and Power of Attorney.
- (X) True Copy of Assignment.
- (X) A Notice to File Missing Parts.

07/21/2004 BABRAHAI 00000085 10734103

05 FC:1252

420.00 DP

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(X) An extension of time to respond for one month is hereby requested.

Time Extension Fee: (X) two month (\$420 large entity)

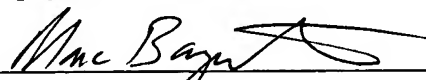
(X) Return prepaid postcard.

(X) Fees as calculated below:

FILING FEE	\$ 770
FEE FOR TOTAL CLAIMS OVER 20 (LARGE ENTITY)	\$ 126
FEE FOR INDEPENDENT CLAIMS OVER 3 (LARGE ENTITY)	\$ 86
FEE FOR EXTENSION OF TIME (LARGE ENTITY) 2 months	\$ 420
SURCHARGE 37 CFR 1.16(e)	\$ +130
TOTAL OF ABOVE CALCULATIONS	\$ 1,532
REDUCTION BY 2 FOR FILING BY SMALL ENTITY. Note 37 CFR 1.9, 1.27, 1.28. If applicable, verified statement must be attached.	\$ - 0
TOTAL FEES SUBMITTED HERewith	\$ 1,532

(X) A check in the amount of \$1,222 to cover the above fees is enclosed.

(X) The Commissioner is hereby authorized to charge any additional fees which may be required, now or in the future, or credit any overpayment, to Account No. 11-1410.

  
\_\_\_\_\_  
Marc C. Baumgartner  
Registration No. 53,976  
Attorney of Record  
Customer No. 20,995  
(619) 235-8550

**COPY**

UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
 United States Patent and Trademark Office  
 Address: COMMISSIONER FOR PATENTS  
 P.O. Box 1450  
 Alexandria, Virginia 22313-1450  
 www.uspto.gov

APPLICATION NUMBER	FILING OR 371 (c) DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/734,103	12/10/2003	Mario Swinnen	ASHL.002A

20995  
 KNOBBE MARTENS OLSON & BEAR LLP  
 2040 MAIN STREET  
 FOURTEENTH FLOOR  
 IRVINE, CA 92614



CONFIRMATION NO. 7963  
 FORMALITIES LETTER



\*OC000000012145373\*

Date Mailed: 03/19/2004

**NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION**

07/21/2004 BABRAHA1 00000085 10734103

FILED UNDER 37 CFR 1.53(b)

01 FC:1001	770.00 OP
02 FC:1051	130.00 OP
03 FC:1202	126.00 OP
04 FC:1201	86.00 OP

*Filing Date Granted***Items Required To Avoid Abandonment:**

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 770 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

The applicant needs to satisfy supplemental fees problems indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Additional claim fees of **\$212** as a non-small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.

**SUMMARY OF FEES DUE:**

Total additional fee(s) required for this application is **\$1112** for a Large Entity

- **\$770** Statutory basic filing fee.
- **\$130** Late oath or declaration Surcharge.


- Total additional claim fee(s) for this application is **\$212**

- **\$86** for 1 independent claims over 3.
- **\$126** for 7 total claims over 20.

Replies should be mailed to: Mail Stop Missing Parts  
Commissioner for Patents  
P.O. Box 1450  
Alexandria VA 22313-1450

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*A copy of this notice **MUST** be returned with the reply.*

  
\_\_\_\_\_  
Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE